# SEC Form 4

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 OMB APPROVAL
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1. Name and Addres Willis Mike	s of Reporting Pers	on*	2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>Gevo, Inc.</u> [ GEVO ]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner						
					Officer (give title	Other (specify					
				- X	below)	below)					
(Last) (First) (Middle) 345 INVERNESS DRIVE SOUTH			3. Date of Earliest Transaction (Month/Day/Year) 09/16/2015	CFO							
BUILDING C, S	UITE 310										
(Otara at)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	idual or Joint/Group Filin	g (Check Applicable					
(Street)	~~~	00445		X	Form filed by One Rep	porting Person					
ENGLEWOOD	СО	80112	_		Form filed by More that Person	an One Reporting					
(City)	(State)	(Zip)									

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

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1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year) 2A. Deeme Execution I if any (Month/Day		Code (Instr.		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)	
Common Stock	09/16/2015		М		1,586	A	(1)	19,221	D		
Common Stock	09/16/2015		F		507	D	\$2.23	18,714	D		

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		5. Numl Derivati Securiti Acquire or Disp of (D) (I 4 and 5)	ve es ed (A) osed nstr. 3,	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		(Instr. 4)		
Restricted Stock Units	(1)	09/16/2015		A		57,078		(2)	(2)	Common Stock	57,078	\$0.00	57,078	D	
Non- Qualified Stock Option	\$2.19	09/16/2015		A		62,189		(2)	07/29/2025	Common Stock	62,189	\$0.00	62,189	D	
Restricted Stock Units	(1)	09/16/2015		М			1,586	(2)	(2)	Common Stock	1,586	\$0.00	55,492	D	

Explanation of Responses:

1. Restricted Stock Units convert into common stock on a one-for-one basis

2. On September 16, 2015, the reporting person was granted restricted stock units and options that vest monthly for three years beginning on July 29,2015.

**Remarks:** 

## /s/ Brett Lund, Attorney-in-Fact 09/17/2015

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.