SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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1. Name and Address of Re Lund Brett	porting Person [*]	2. Issuer Name and Ticker or Trading Symbol Gevo, Inc. [GEVO]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
				Director	10% Owner			
(Loot) (First)		3. Date of Earliest Transaction (Month/Day/Year)	X	Officer (give title below)	Other (specify below)			
(Last) (First)		09/16/2013		EVP, GC and Se	ecretary			
GEVO, INC., 345 INV	ERNESS DRIVE SOUTH	00/10/2010		. ,	J			
BUILDING C, SUITE 310								
		4. If Amendment, Date of Original Filed (Month/Day/Year)		vidual or Joint/Group Filing	(Check Applicable			
(Street)			Line)					
ENGLEWOOD CO	80112			Form filed by One Repo	orting Person			
				Form filed by More than Person	One Reporting			
(City) (State	e) (Zip)							

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Table 1 Hon Benvalve Bebundes Alequirea, Disposed of, of Benenolary Office												
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership		
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)		
Common Stock	09/16/2013(2)		S		2,000	D	\$1.94 ⁽¹⁾	150,959	D			
Common Stock	09/17/2013(2)		S		4,717	D	\$1.95 ⁽³⁾	146,242	D			
Common Stock	09/18/2013		A		30,000	A	\$0.49	176,242	D			
Common Stock	09/18/2013		A		30,000	A	\$1.16	206,242	D			
Common Stock	09/18/2013		S ⁽⁷⁾		60,000	D	\$2.03 ⁽⁶⁾	146,242	D			

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deri Seci Acq (A) o Disp of (E	umber vative urities uired or oosed o) (Instr. and 5)	6. Date Exerc Expiration Da (Month/Day/Y	ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Non- Qualified Stock Option (right to buy)	\$0.49	09/18/2013		X			30,000	(4)	12/17/2017	Common Stock	30,000	\$0.49	176,242	D	
Non- Qualified Stock Option (right to buy)	\$1.16	09/18/2013		x			30,000	(5)	08/11/2018	Common Stock	30,000	\$1.16	206,242	D	

Explanation of Responses:

1. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$1.92 to \$1.96, inclusive. The reporting person undertakes to provide to Gevo, Inc., any security holder of Gevo, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote (1) to this Form 4.

2. The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on May 16, 2013. The shares sold represent shares of restricted stock granted to the reporting person that have recently vested. Such shares were sold, in part, to satisfy certain tax obligations of the reporting person triggered by the vesting of such shares.

3. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$1.92 to \$1.97, inclusive. The reporting person undertakes to provide to Gevo, Inc., any security holder of Gevo, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote (3) to this Form 4.

4. This option was granted on December 17, 2007 and became exercisable as the option vested over a four year period.

5. This option was granted on August 11, 2008 and became exercisable as the option vested over a four year period.

6. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$2.02 to \$2.04, inclusive. The reporting person undertakes to provide to Gevo, Inc., any security holder of Gevo, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote (6) to this Form 4.

7. The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on May 16, 2013.

<u>/s/ Brett Lund</u>

09/18/2013

Date

** Signature of Reporting Person

^^ Signature of Repo

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 4 (b)(v)

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.